TC 1700 MAIL ROOM



ATTORNEY'S DOCKET NO. V0077/7119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Grant Kenji Larsen

Serial No:

09/676,548

Filed:

September 29, 2000

For:

SURFACE STRUCTURE AND METHOD OF MAKING, AND

ELECTROSTATIC WAFER CLAMP INCORPORATING

SURFACE STRUCTURE

Examiner:

unk.

Art Unit:

1772

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- [x] Information Disclosure Statement
- [x] Form PTO-1449 and References
- [x] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

The fee of \$0 may be charged to Deposit Account No. 50-0896. If the fee is insufficient, the balance may be charged to Deposit Account No. 50-0896. A duplicate of this sheet is enclosed.

I HEREBY CERTIFY THAT THIS
CORRESPONDENCE IS DEPOSITED WITH THE
UNITED STATES POSTAL SERVICE AS FIRST
CLASS MAIL IN AN ENVELOPE ADDRESSED TO:
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, DC 20231, ON

MAY 21 , 2001

William R. Mc Clellan

Docket No. V0077/7119 May 21, 2001

xndd

532013.1

Respectfully Submitted,

William R. McClellan

Reg. No. 29,409

Wolf, Greenfield & Sacks, P.C.

William R. McCleflan

600 Atlantic Avenue

Boston, MA 02210-2211

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ATTORNEY'S DOCKET NO: V0077/7119WRM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Grant Kenji Larsen

Serial No:

09/676,548

Filed: For:

September 29, 2000

SURFACE STRUCTURE AND METHOD OF MAKING, AND

ELECTROSTATIC WAFER CLAMP INCORPORATING

SURFACE STRUCTURE

Examiner: Art Unit:

unk. 1772

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 21 day of May, 2001.

William R. McClellan Reg. No.: 29,409

1700 MAIL ROOM

Commissioner for Patents Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

A. This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

521728.1

Serial No.: 09/676,548

ART IV: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated the attached form PTO-1449 (modified). It is respectfully requested that:

Art Unit: 1772

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Grant Kenji Larsen, Applicant(s)

D.,,

Villiam R. McClellan, Reg. No. 29,409

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

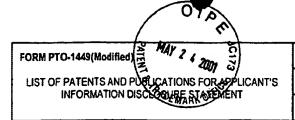
Boston, MA 02210

Telephone (617) 720-3500

Docket No. V0077/7119WRM

Dated: May 21, 2001

521728.1



ATTY. DOCKET NO.: V0077/7119WRM 5

SERIAL NO.: 09/676,548

APPLICANT: Grant Kenji Larsen

FILING DATE: September 29, 2000

GROUP: 1772

U.S. PATENT DOCUMENTS

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	FILING DATE If Appropriate
II III	D63		9/95				и Арргориме
	 	5,452,177		Frutiger	361	234	<u> </u>
	-	5,969,934	10/99	Larsen	361	234	·
	 	4,535,835	8/85	Holden			
	ļ	4,139,051	2/79	Jones et al			
,		4,282,924	11/81	Faretra			
		4,832,781	5/89	Mears	156	345_	-7:1
		4,457,359	7/84	Holden	165	8067	温
						0	$\mathbf{R} \circ \mathbf{C}$
		5,528,451	6/96	Su	361	2345	3 🖺
		4,645,218	2/87	Ooshio et al	279	1MF	E E
	T	5,384,682	1/95	Watanabe et al	361	2342	
		5,560,780	10/96	Wu et al	118	7289	
		4,184,188	1/80	Briglia	361	234	
		4,480,284	10/84	Tojo et al	361	234	
•		5,606,485	2/97	Shamouilian et al	361	234	
		4,938,992	7/90	Mears	427	38	
		5,636,098	6/97	Salfelder	361	234	
		5,631,803	5/97	Cameron et al	361	234	
		5,634,266	6/97	Sherstinsky et al	29	825	
		5,644,467	7/97	Steger et al	361	234	
	T	5,103,367	4/92	Horwitz et al	361	234	
		5,583,736	12/96	Anderson	361	234	
		5,812,362	9/98	Ravi	361	234	
		5,345,999	9/94	Hosokawa	165	80.2	
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FOREIGN PATENT DOCUMENTS

	Country & Doc. No. (11)	Pub. Date (43)		Class	Sub Class	Translation Yes No
	EP 0 780 885 A2	6/97	Applied Materials, Inc.			

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.